University Compliance Program Structure

Office of University Compliance
- Reports to the Office of the General Counsel
- Coordinates University-wide compliance activities
- Manages the Enterprise Risk Management (ERM) activities for the University
- Monitors the Compliance Hotline
- Reports regularly to Senior Administration

Executive Compliance Committee
- Directs policy and sets the “tone at the top”
- Monitors progress in Key Compliance Areas
- Reviews updates on compliance developments and best practices
- Allocates appropriate resources for compliance areas

University Compliance Committee
- Supports the coordination of compliance activities across the University
- Identifies Key Compliance Areas
- Reviews updates on compliance developments and best practices
- Monitors ongoing progress in Key Compliance Areas

University Compliance Network
- Serves as Subject Matter Experts on Key Compliance Areas
- Provides information on compliance activities for their area
- Reinforces the concept of the University as a “Compliance Community”

Compliance Hotline
- A confidential channel for employees to report or seek guidance on possible compliance issues without fear of retaliation